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## 21 Old English: Pragmatics and discourse

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### Abstract

*This chapter suggests that OE pragmatics and discourse should be approached from a cross-linguistic and cross-cultural perspective, rather than seeing Old English as a pre-stage for the later periods of English. It is its cultural and linguistic “otherness” which makes Old English, in spite of the lack of good data, a particularly interesting area for pragmatic study. The different culture(s) of the Anglo-Saxon world required forms for the negotiation of meaning different from those we are familiar with today. Thus the conspicuous lack of structures attesting to politeness as face work, the existence of distinct speech events such as flyting or the prominent role of silence allow cross-cultural and cross-linguistic comparison which both corroborates and challenges issues like the uniformitarian principle. Similarly, the different typological character of the morpho-syntax of Old English allows a degree of word order flexibility that is exploited by discourse strategies.*

### 1 Old English discourse: data, texts and discourse communities

Pragmatics focuses on how meaning is negotiated, i.e., how speakers and hearers in certain contexts – to echo the title of Austin’s (1975 [1962]) groundbreaking lecture – “do things with words”. It thus concerns the analysis of mental processes in speakers and hearers, but also issues of linguistic and social interaction in specific socio-historical and cultural settings. While it is generally, also for speakers and hearers of Present-day languages, hard to isolate the crucial cognitive processes operating in the human minds, the study of OE pragmatics is complicated by at least two further factors: not only, as for all early periods of a language, the lack of good data (see Taavitsainen, Volume 2, Chapter 93), but also the length of the period and consequently and more importantly for the present subject, the changing linguistic and socio-historical conditions during and after the Anglo-Saxon period, which fundamentally affected the bases for and principles of social as well as linguistic interaction.

The OE period – traditionally considered to last from the middle of the 5th century to about 1100/1150 – is the longest among the conventional periods of English and covers more than 600 years (see von Mengden, Chapter 2), from the time when Germanic tribes, as pagan pirates and mercenaries, invaded Britain to the late Anglo-Saxon England of the 10th and 11th centuries, when the Anglo-Saxon society was one of the most sophisticated societies of the medieval West, renowned for its ecclesiastical, literary, and cultural achievements. Fortuitously, a wide variety of vernacular OE texts – many more than from any of the other early medieval Germanic societies – are extant from the Anglo-Saxon period. The online database *Dictionary of Old English Web Corpus* (Healey [ed.] 2005), which consists of at least one copy of every extant OE text, comprises about four million words of Old English, starting with Æthelberht’s vernacular law code from the late 6th century to a collection of diverse texts produced during and after the Benedictine Reform in the 10th and 11th centuries (see also the about 600 – a surprisingly large number – OE words designating textual categories and speech acts collected in Görlach 2004: 91–97). Yet despite this exceptionally good preservation of data, it is still hard to study pragmatic and discourse patterns of the vernacular: Anglo-Saxon England may, at least for the majority of Anglo-Saxons, during its whole period be characterized as an oral rather than a literate society (see Schaefer, Volume 2, Chapter 81), but all of our extant texts are, of course, in the written medium, and all of them are strongly linked to the monastic settings in which most of the manuscripts were produced; accordingly, all of them are strongly influenced by a long literary tradition in Latin. When studying OE pragmatics and discourse, we thus have to be aware – much more than in later centuries – that we have access only to a very small proportion of the language actually used in Anglo-Saxon England.

Furthermore, if we take a narrow approach and understand discourse as the spoken equivalent of a text, i.e., a stretch of conversation or dialogue, and discourse analysis as the examination of, for instance, patterns of turn-taking in a dialogue, its methods cannot be fruitfully applied to our OE material. For older stages of a language which are only extant in the written medium, it has been suggested that much can be deduced from so-called “speech-based genres” such as court records, drama or from more colloquial written genres such as personal letters or diaries (see Biber and Finegan 1992). Yet virtually no such speech-based genres are extant from the OE period, except for the didactic dialogues found in the OE version of Ælfric’s *Colloquy* and the indirect instances of direct speech in OE fictional texts attested in poetry, homilies, or prose narratives such as the OE *Apoltonius*. Most of the homilies and narratives, however, are translations from Latin, so that we cannot be sure whether the speech conventions recorded there echo actual OE speech interaction or whether they were typical of the Latin discourse tradition or a hybrid Anglo-Saxon/Latin tradition. In his study of interjections in the OE part of the *Helsinki Corpus* (Rissanen et al. 1991), for instance, Hiltunen (2006: 92) finds that OE *eala*, the most frequent interjection in the corpus (66 instances), is attested in a broad variety of texts as an attention-getter or emphasizer, but only in texts translated or adapted from Latin Christian models. It is thus an OE interjection with decidedly literary, especially Christian, associations (for a similar text-specific distribution of OE insulting epithets, see Chapman 2008 and Section 3.2). Even in the more monolingual context of heroic poetry (which survives in revised Christianized form only) and other instances of oral formulaic poetry (see Schaefer, Volume 2, Chapter 81), such as examples of Anglo-Saxon verbal duelling, we cannot be sure whether we are dealing with actual language

interaction or merely literary topoi typical of the literary traditions in the vernacular or in Latin (see the discussion of “flying” in Knappe 2008 and Section 2.2 below).

If we take a broader view of the concept of discourse and capture it as a domain of communication denoting the totality of linguistic practices that pertain to a particular field of knowledge (such as, for instance, the discourses of the courtroom, of law, of news, of science) and the dissemination of information within a certain group of speakers, i.e., a specific discourse community (communities with a common set of assumptions and a shared discourse), the only promising field for Old English is the “discourse of religion” (see also Kohnen 2007a), which is widely attested in translations of the psalms and the gospels as well as translations of works by the Fathers (Gregory the Great, Augustine, Bede) and in text types such as prayers, homilies, monastic rules (St. Benedict, Chrodegang) or penitentials. It is exactly the emergence of new text types and forms of discourse which characterizes the Middle English period (see Traugott, Chapter 30).

## 2 Old English pragmatics as cross-cultural pragmatics

This survey of existing texts and their contexts shows that a comprehensive study of OE pragmatics and discourse would have to cover as diverse concepts as the discourse traditions of the Germanic heroic age and those of a recently Christianized society, and also the scholarly activities in the vein of the Benedictine reform (the last two strongly influenced by Latin literacy and its discourse traditions). Anglo-Saxon culture – or rather, Anglo-Saxon cultures – thus was very much different from later cultures: it not only saw the transition from an oral to a literate society, but also – an aspect relevant for the major principles of social interaction central to pragmatic analysis – the transition from a heroic to a Christian society.

The Anglo-Saxon period thus has very different medial and cultural backgrounds compared to later periods of English. Yet the period is sometimes in danger of losing what has come to be called its essential “alterity”, its otherness compared to our habits of mind, modes of expression and principles of social organization (see Jauss 1979; Lerer 1991: 7-8). Very little of this otherness, for example, seems to have been acknowledged in studies of OE pragmatics, most probably because many of the studies are based on the OE corpus material selected for the *Helsinki Corpus* (Rissanen et al. 1991), which aims at the comparability of genres and text types during the history of English and not their divergence.

### 2.1 Politeness

Some issues of divergence or otherness are enunciated in Kohnen’s more recent discussions of the question whether there was anything like facework in terms of the politeness theory by Brown and Levinson (1987) in Anglo-Saxon England and if so, whether these norms were more oriented towards positive or negative politeness (Kohnen 2008a, 2008b). In his studies on different manifestations of OE directives (Kohnen 2000, 2007b, 2008a, 2008c; see also Section 3.1.2), Kohnen finds no instances of negative politeness, i.e. the wish that one’s actions go unimpeded by the others, in texts set in Germanic or secular contexts. Instead, direct performatives *Ic bidde eow þæt ...* ‘I ask you to ...’ or constructions with *þu scealt* ‘thou shalt’ are preferred. In texts set

within a Christian context, many strategies implying a basic kind of solidarity are attested, such as constructions with hortative *uton* ‘let us’, stressing the necessity of the required action from both addresser and addressee. Kohnen argues that the solidarity expressed by common ground strategies reflects the Christian and monastic models of ‘humilitas’ and ‘oboedientia’ which, in his opinion, cannot necessarily be taken as strategies of face work (Kohnen 2008b: 143). These findings suggest that linguistic politeness in the sense of face work may not have been important in Anglo-Saxon communication, at least with regard to negative politeness.

A similar distribution across text categories is suggested by Kohnen’s study of OE terms of address, such as *leof* ‘dear one, friend’, *broþor* ‘brother’, or *hlaford* ‘master, ruler; lord’. *Leof*, the most general courteous address in Old English communicating sympathy, affection, and friendship, is neither typically formal nor typically authoritative, but applicable in a wide range of relationships and settings (so that the usual Modern English translations *Sir* or *My/Dear Lord*, which imply a certain authoritative hierarchy, are inaccurate). *Broþor* designates a friendly and affectionate relationship and seems to combine the intimate, mostly affectionate bond associated with blood relationship and the basic solidarity among humans being requested by Christian morals (Kohnen 2008b: 145–152). In secular texts, the use of *hlaford* reflects a static hierarchical society, a fixed rank in a hierarchical society characterized by mutual obligation and kin loyalty; in religious contexts, it is used to address God or Christ (translating Lt. *dominus*, i.e. ‘the Lord’). Kohnen summarizes that the prevalent picture of a warlike society of (secular) Anglo-Saxon England may have followed different underlying assumptions and customs, suggesting that face-threatening acts were not felt as a menace but rather as an accomplishment and that face-enhancing acts, like self-praise and boasting (see Section 2.2 on flyting), were not considered to be embarrassing.

Politeness as face work may thus not have played a major role in Anglo-Saxon society. This highlights the intrinsically culture-specific nature of phenomena like politeness and suggests in accordance with other cross-cultural studies that the universal validity or significance of politeness theory – as devised by Brown and Levinson (1987) – is a gross mistake. Negative politeness in particular is fundamentally culture-specific, reflecting the typical patterns of today’s Western, or even more particular, Anglo-American, politeness culture (see also Jucker and Taavitsainen 2008: 7–9). The study of Anglo-Saxon pragmatics thus does not only affect our understanding of the historicity of verbal interaction but also challenges issues of universality.

## 2.2 Flyting

Similar factors of “otherness” have also been studied – in literary as well as pragmatic investigations – in the analysis of Anglo-Saxon “flyting” (cf. OE *flitan* ‘strive, quarrel, dispute’), the defiant, proud provocation in verbal duelling in heroic poetry (see Aronvick 1999: 15–40; Jucker and Taavitsainen 2000; Knappe 2008). In particular Byrhtnoth’s flyting in the *Battle of Maldon* (lines 25–61) or the so-called Unferth episode in *Beowulf* (lines 499–610) exemplify the Germanic genre with its highly stylized and conventional rhetoric (following the standard sequence “claim – defence – counterclaim”) and also the subtlety of the combination of insult and boast. In flyting, power and status are negotiated on a verbal battlefield; typical topics of the insults are crimes of kinship (cowardice, failure of honor, irresponsible behavior). In the Unferth episode,

for instance, Beowulf is invited to tell of his famous victories, but first Unferth addresses Beowulf with an insulting speech, accusing Beowulf of having risked his life for a foolish contest with Breca and for having lost the contest (lines 506–511). In the passage given, (1), Unferth provocatively doubts that Beowulf is going to be successful in his encounter with Grendel (lines 512–515) and Beowulf counters in the appropriate style of flyting by accusing Unferth of being drunk (see Arnovick 1999: 608–609 and Jucker and Taavitsainen 2000: 77–78).

- (1) [Unferth] “*Ðonne wene ic to þe wýrsan gepingea  
 ðeah þu heaðoræsa gehwær þohte  
 grimre guðe, gif þu Grendles dearst  
 nihtlonge fyrst nean bidan.*”  
*Beowulf mabelode, bearn Ecgþeowes:  
 “Hwæt, þu worn fela, wine min Unferð  
 beore druncen ymb Brecan spræce  
 sægdest from his siðe!”* (Beowulf 525–532a)

‘Unferth: “Therefore I anticipate worse outcome for you – though you may always have proved competent in the onslaughts of battle and fierce fighting – if you dare to await Grendel at close quarters for the duration of a night”. Beowulf, son of Ecgtheow, spoke out: “Well now, Unferth, my friend, you have a lot to say about Breca and to tell about this enterprise for one who is drunk with beer!”’

Ritual insults like these continue beyond the period of heroic poetry in the literary challenges between later medieval knights or its revival as a Scots literary genre in the Renaissance. In cross-cultural approaches, its characteristics have recently also been compared to the – also predominantly oral – ritual insults in the sounding or playing the dozens by African-American adolescents. Both flyting and sounding can be described as rule-governed and therefore ritual, but Anglo-Saxon flyting, arguably (see the discussion in Knappe 2008), lacks the ludic character of the sounding of urban black adolescents in the English-speaking world (for this distinction, see Jucker and Taavitsainen 2000: 77).

### 2.3 Frame analysis: Old English charms

Studies like these call attention to Bax’s (2001) suggestion that comparative frame analysis as conceived by Goffman (1974) may be an effective device for an analysis of the “otherness” of distinct medieval speech events such as ritual challenging. Yet until now very little use has been made of the historical dimensions of frame analysis, such as the recognition of “scripts” or “frames”, i.e., pre-existing knowledge structures for interpreting event sequences with a fixed static pattern (see Yule 1996: 85–89). Related ideas and approaches have, however, been applied in the studies on Anglo-Saxon “flyting” summarized in Section 2.2 and particularly in Arnovick’s (2006) investigation of verbal performatives in a corpus of 463 OE and Anglo-Latin charm incantations from the *Lacnunga* and the *Leechbook*. In an interdisciplinary approach inspired by both historical-pragmatic and oral theory, charm incantations are seen as “speech events”, i.e. culturally recognized social activities within a very particular social and linguistic context in which language plays a specific role.

The speech event charm incantation is characterized by a ritual communication with spirits. Arnovick's analysis concentrates on elements with a clear pragmatic function, most of which cannot be studied by corpus methods because they comprise words with non-propositional meaning ("Speaking Gibberish"; Chapter 2), foreign language elements ("Praying the Pater Noster"; Chapter 3), or use no words at all ("Keeping Silence"; Chapter 5). In her close readings of these charms, Arnovick shows that gibberish utterances, which seem to address the spirits in their own tongue, are used as performative relics which perform the illocutionary work of the charm. Gibberish is thus essentially performative because it is the medium of word magic. Similar functions are established for the Latin *Pater Noster* and for silence: If the *Pater Noster* follows a gibberish utterance, it serves to sanctify its command; if the *Pater Noster* appears as the single incantation in the charm, it shoulders the whole illocutionary burden of this charm. Silence – as a metacommunicative marker of the incantation, introducing or framing the magical utterance – does not only signal respect to the deity addressed, but it also indicates respect to the audience of the ritual. Arnovick's analyses, which are also based on a detailed analysis of contextual settings as testified by contemporary texts such as monastic Rules, are a pertinent example of how such a close analysis may depict past and no longer existing models of verbal interaction, which thus expose the "alterity" of Anglo-Saxon social and linguistic interaction.

### 3 Historical discourse analysis

In addition to these pragmaphilological and interdisciplinary approaches, we find various investigations in the scope of historical discourse analysis (for the term, see Brinton 2001: 139), both function-to-form and form-to-function mappings. Function-to-form mapping, i.e. the identification of OE forms which realize particular discourse functions, is relevant both for larger frames or scripts such as flyting (see Section 2.2 above) or the investigation into certain speech acts (see Section 3.1 below). Form-to-function mapping, i.e. the explication of pragmatic and discourse functions of a particular OE item, is central for the analysis of, for example, speech act verbs such as *biddan* and *beodan*, which are used as performative directives (also see Section 3.1.2) or for investigations into the functions of polyfunctional items such as *þa*, *soplice*, or *hwæt*, which have been analyzed as discourse markers in Old English (see Section 3.3).

If we survey these recent contributions to OE discourse analysis, however, we cannot fail to notice a conspicuous *ad hoc* character of most of the studies and their rather large conceptual variety and methodological pluriformity. Again, most of these studies prefer analyzing features and patterns attested for Present-day English, sometimes neglecting the fact that Old English differentiated – as a still much more inflecting language – more nominal and verbal categories (grammatical gender, case; mood), which allow the pragmatic exploitation of structures which are no longer possible in Present-day English. For directives, Old English could employ not only imperative, but also subjunctive/optative inflections (Traugott 1991: 398), instead of or in addition to the employment of various speech act verbs comparable to, for example, PDE *order* (OE *beodan*) or *ask* (OE *biddan*). Similarly, tense-aspect morphology and, in particular, the employment of specific different patterns of word order to signal focus or topic relations (which are no longer applicable in the fixed system SVO in Present-day English), play an important role in foregrounding and narrative segmentation in Old English, instead of or in addition to discourse markers such as *þa*, *hwæt*, or *soplice* (see Section 3.4).

### 3.1 Function-to-form mapping: speech acts

#### 3.1.1 Methodological issues

In the beginnings of historical pragmatics following Jucker's (1995) landmark volume, the study of speech act verbs and speech acts was considered to be particularly promising (see the inaugural issue of the *Journal of Historical Pragmatics* 2000) and so a number of studies on English diachronic pragmatics have dealt with speech acts (for the methodologically most consistent approaches, see Jucker and Taavitsainen 2008 [eds.]). Apart from Kohnen's intense synchronic investigations into OE directives (see Section 3.1.2), most of these studies aim at comparing certain speech acts through the history of English; see Traugott (1991) on the history of English speech act verbs, Arnovick (1994, 1999: 57–94) on promising and curses, Jucker and Taavitsainen (2000) on insults, and Grzeg (2008) on greetings. Accordingly, their primary focus is on regular patterns in language change, such as regularities in the semantic shift from non-epistemic to epistemic in the development of speech act verbs (Traugott 1991) or an increasing subjectification in promising or cursing (Arnovick 1994, 1999).

Much of this research is not only inhibited by the lack of comparative data, but also – as Bertuccelli Papi (2000) has pointed out – by the theoretical divergence of the Austrian, Gricean, and Searlian traditions, which emphasize the detailed investigation of the socio-historical context, on the one hand, or, on the other, an essentially cognitive notion of context, i.e., speaker intentions, felicity conditions, and mental attitudes (which are particularly hard to reveal for OE speakers). Many of the studies on English historical pragmatics employ a mixed approach, which is, however, basically structured along the lines of the five types of speech acts distinguished by Searle (1969; representatives, directives, commissives, expressives, and declaratives). Because of the conspicuous synchronic and diachronic variation in speech acts, Jucker and Taavitsainen (2000, 2008) have suggested a prototype approach, viewing speech acts within the “multidimensional pragmatic space” they share with neighbouring speech acts whose coordinates are context-specific, culture-specific and time-specific. The pragmatic space of “antagonistic verbal behaviour” (Jucker and Taavitsainen 2008), for example, would thus include insults and threats as found in medieval flyting, Shakespearian name-calling, or present-day sounding or flaming, since basic patterns of the speech act “verbal insult” are repeated there in slightly modified forms (see Section 2.2 above). Studies following this approach have thus confirmed the importance of long-term investigations in historical pragmatics, since they both corroborate and challenge issues like the uniformitarian principle (for the challenges, see also Section 2.1 on politeness, above).

Again, however, it has to be acknowledged that it is in particular divergence which is crucial for the study of OE speech acts. In her pioneering account outlining the chances and challenges of historical pragmatics, Schlieben-Lange (1976) warned that we have to be very careful when transferring our understanding of today's speech acts and their principles to older stages of a language. Not only were there different, or at least much more important and more highly institutionalized, speech acts, such as BANISHING, OUTLAWING, SCORNING, OFFERING ONE'S SERVICE, but some speech acts and events which seem equivalent to present-day ones may have changed in their pragmatic function. In his study of the Old High German performative formula in the speech event of baptism, Wagner (1994), for example, accordingly finds that the pragmatic functions of certain performatives have changed tremendously: while in the medieval theocentric world view of

the early Middle Ages, the utterance of performative formulas employing the verbs *gelou-ban* ‘believe’ and *forsahhan* ‘forsake’ was an act of abjuring the devils and subjecting oneself to the Christian god, i.e. a declarative speech act in Searlian terms (speaker causes the situation X, direction of fit: words change the world), it is – for many speakers – now rather a solemn profession of faith or a solemn promise and therefore a commissive speech act (the speaker intends X, direction of fit: make the world fit words).

### 3.1.2 Directives in Old English

In general, Schlieben-Lange (1976) suggested that indirect speech acts, ambiguous in their illocution or perlocution, were rare, reflecting the different social contexts in a strongly hierarchical society. For Old English, these suggestions were corroborated for directives and their development, the as yet only systematically studied OE speech act (see Kohnen 2000, 2008a, 2008c). In an early study, Kohnen (2000) found that performative directives, i.e., instances in which the speaker explicitly refers to the act of requesting or commanding, were more common in Old English than in Present-day English, where directives are often realized in indirect or hedged form (e.g. *Could you give me a hand?* or *Will you do me a favour?*). The following examples, (2a, b) from Ælfric’s letter to Wulfsgie and the OE laws of King Canute illustrate the “typical explicit performative pattern”, i.e. constructions with verbs in 1P SG active, (preferentially) an object referring to the addressee, and a subordinate clause introduced by *þæt* naming the requested action:

- (2) a. *And we beodað þæt man Cristene men for ealles  
and we command-1P.PL.ACT that [SUB. CONJ.] one Christian men-ACC.PL for all-GEN.SG  
to lytlum huru to deaþe ne forræde  
to little (things)-DAT.PL certainly to death-DAT.SG not sentence-3PSG.ACT.SUBJ  
‘And we command that Christian men be not sentenced to death for the slightest reason’  
(c.1020 LawIICn, 2.1; Kohnen 2000: 304)*
- b. *ic bidde eow þæt ge gymon  
I ask-1PSG.ACT you-2PPL.ACC that [SUB. CONJ.] you-2PPL.NOM take care-2PPL.ACT.SUBJ  
eowra sylfra swa eowere bec eow wissiað  
your-2PPL.GEN selves-2PPL.GEN as your-2PPL.GEN books-NOM.PL you-2PPL.ACC instruct-3PPL.ACT  
‘I ask you to take care of yourselves in such a way as your books instruct you’  
(c.1000 ÆLet 1 [Wulfsgie Xa] 117; Kohnen 2000: 304)*

In Old English, the performative function was found to be restricted to only five out of the altogether 40 relevant speech act verbs, namely *biddan* ‘ask’, *læran* ‘teach’, *halsian* ‘implore’, *bebeodan* ‘bid’, and *beodan* ‘command’. This means that only those directive acts were prominent which imply an unambiguous and asymmetric relationship between addresser and addressee: Either the addresser holds a superior rank (as in the case of so-called *beodan* verbs such as *beodan*, *bebeodan*, *hatan* ‘command’, *læran* ‘instruct’, *myne-gian* ‘exhort’, *manian* ‘exhort’) or the addresser is not in a superior position (as in the case of so-called *biddan* verbs such as *biddan*, *gebiddan* ‘ask’, *halsian*). Furthermore, the conspicuous lack of verbs denoting suggestion and advice such as PDE *suggest* or *recom-mend* shows that indirect directives and, consequently, the tendency to avoid face-threatening acts seem to have developed relatively late in the history of English, in Early Modern English (see Kohnen 2002, 2007b; see also Section 2.1, above).



In his following studies, charting the complete inventory of the various manifestations of directives, Kohnen finds that the manifestations of directives fall into four classes: performatives, imperatives, modal expressions, and indirect manifestations (see Kohnen 2007b and 2008c). Over the history of English, performatives, modals, and in particular imperatives, with 2P imperatives as their unmarked manifestation, have been most frequent (Kohnen 2008c: 309). The most problematic class of directives for all periods of English are indirect directives, in which an utterance contains neither imperatives, nor the relevant modals, nor performatives; in his OE data, Kohnen could not find any instances of such indirect directives (Kohnen 2008c: 301): both their frequency and their variability increase only over the centuries. All in all, the findings thus again suggest that negative politeness did not play a major role in Anglo-Saxon communication (see also Section 2.1).

### 3.1.3 Other speech acts

In her study on the history of promises in English, Arnovick (1994, 1999: 57–71) similarly proposes a “straightforward nature of the promise” in Old English: promises in Old English are described as direct, sentence-length utterances; many of the promissory statements rely upon *sculan* or *willan*, such as in (3):

- (3) *Nu ic, Beowulf, þec,  
secg betsta, me for sunu wyllle  
freogan on ferhþe. (Beowulf 946b–948a)*  
‘Now, Beowulf, best of men, in my heart I will love you as a son’

Arnovick further argues that the development of *will* and *shall* from deontic modals to epistemic tense markers, marking predominantly futurity and no longer obligation, results in an expansion of the discourse needed to convey the illocutionary force of promising in the course of the history of English.

In the development of the manifestations of SWEARING/CURSING, Arnovick also finds a movement toward greater subjectivity from Old English, when curses were so standard as to appear formulaic and had an exclusively deontic, religious meaning (Arnovick 1999: 73–94 “Subjectification in the Common Curse”). Analogous stylized forms have also been found for the related speech act INSULT in Old English (cf. Jucker and Taavitsainen 2000 and the account of OE flying, also Section 2.2 above).

## 3.2 Form-to-function mappings

### 3.2.1 Insulting epithets

With respect to form-to-function mapping, i.e., the explication of pragmatic and discourse functions of particular OE items, a similar importance of stylized forms is seen in Chapman’s (2008) study of insulting epithets in Old English, which highlights the highly conventional character of insulting epithets. OE speakers/writers use common, well-worn words, which are frequently repeated in the epithets (*earn* and *earnig* ‘poor’ are most versatile; there are only four hapax, such as *wambscyldig* ‘belly-guilty; sinful’) and they fall into a fairly well defined set of semantic categories, typical of insults in other

languages, such as “low social standing” (e.g. *earn/earnig* ‘poor; miserable, wretched’, *ungesælig* ‘unfortunate; miserable, wretched’), “intellectual, mental deviations” (e.g. *dysig* ‘foolish’, *stunt* ‘foolish’), “individual deviations in character” (e.g. *lypre* ‘wicked, evil’, *wælhreow* ‘cruel, barbarous’), or “individual transgressions of societal norms”, in Old English overwhelmingly characterized as sins (e.g. *druncene* ‘drunk’, *leas* ‘vain, false; lying’, *wlanc* ‘proud’, *licettere* ‘hypocrite’, *wedloga* ‘oath-breaker’). In sum, Chapman finds a preponderance of terms naming sins or other moral shortcomings, such as *wedloga* ‘oath-breaker’ or *licettere* ‘hypocrite’. Notably missing from the OE data are sexual and scatological epithets, which appear to have been common in Germanic traditions, but which might not be attested because of the predominance of religious genres in the surviving OE texts (Chapman 2008: 4). The most creative expressions are found in addresses to devils and in an exceptional passage in an OE debate between the body and the soul from the *Vercelli Homilies*, where the soul accuses the body of getting them both damned:

- (4) *La, ðu eorðan lamb & dust & wyrma gifel, & þu wambscyldiga fætels & gealstor & fulnes & hræw, hwig forgeate ðu me & þa towardan tide?* (HomU 9 [ScraggVerc 4] 207)  
 ‘Hey, you mud of the earth and dust and food for worms, and you belly-guilty bag and pestilence and foulness and corpse, why did you forget me and the future?’  
 (translation Chapman 2008: 2)

While this passage may indeed allow a better idea of spoken OE interaction, the common conventionality of insults is not specific to Old English, but has already been noted for many other languages. Indeed, this speech act actually invites conventionality, because an inherent characteristic of an insult is that the insulting label must be recognized as such by the target and other listeners.

### 3.2.2 Interjections

Single OE elements with pragmatic function which have received some attention in the literature are interjections and, in particular, discourse particles. Some approaches propose a cline between interjections and discourse markers (see the review of scholarship in Hiltunen 2006: 93–94) and there are a number of polyfunctional items such as OE *hwæt* ‘what’, which may function as interjections or discourse particles. The main difference between interjections and discourse markers, however, is that while the latter work on the textual and interpersonal level only, interjections predominantly function as full speech acts, i.e., as equivalents to a full sentence (PDE *Wow!* ‘I am surprised’, PDE *sh* ‘I want silence here’).

The studies on interjections have until now mainly concentrated on charting the inventory of interjections in Old English by means of a corpus analysis (Hiltunen 2006) and investigations into the use and descriptions of OE interjections (and their relation to Latin ones) in OE texts and also metalinguistic sources such as Ælfric’s *Grammar* (Hiltunen 2006; Sauer 2007, 2008). An investigation of Ælfric’s *Grammar* shows that Ælfric was aware of the role and significance of interjections in English, since he discusses them in some detail. A more functional approach to interjections is likely to yield not only further insights into interjections but also, since they signal full speech acts, into speech acts and their analysis.

### 3.2.3 Discourse markers

Starting with Enkvist's analyses of OE *þa* 'then; when' (Enkvist 1972, 1986) and, in particular, Brinton's pioneering monograph on "mystery features" of Old and Middle English (Brinton 1996), most attention in OE pragmatic research has until recently been paid to what have been termed "discourse markers" (Schiffrin 1987) or "pragmatic markers" (Brinton 1996). Due to the difficulties of sentence and discourse segmentation arising from the lack of punctuation in OE manuscripts and also due to the oral or oral-literate character of OE texts in general, the discourse-structuring function of adverbs and phrases which segment OE texts was registered in philological approaches to Anglo-Saxon literature before the beginning of historical pragmatics as a discipline proper. OE adverbs such as *her* 'here' and *nu* 'now', for example, have been described in their text deictic, i.e., textual, functions (Clemoes 1985; Fries 1993; see also Lenker 2000). Much valuable material can also be found in glossaries and introductions to editions of OE texts or monographs discussing the language of individual Anglo-Saxon authors (for the Alfredian works, see, e.g., Wülfing 1894–1901), material which tends to be neglected in studies based on language corpora such as the *Helsinki Corpus* (Rissanen et al. 1991).

The OE items best studied for their various functions are *þa* 'then; when' and *hwæt* 'what', not only in linguistic but also in literary and philological studies *hwæt* in particular because of its prominent appearance at the beginning of several well-known OE poems such as *Beowulf*, *Andreas*, *The Dream of the Rood*, *Exodus*, *Fates of the Apostles*, *Judgement Day II*, *Solomon and Saturn*, and *Vainglory* (see Brinton 1996: Chapter 7 and Stanley 2000). Yet, with the advent of text linguistics and pragmatics, the investigations into these items have become much more methodological. Brinton's pragmatic analysis of the uses of OE *hwæt*, for example, shows that it – in addition to its employment as an interrogative and complementizer – serves as an attention getter and as a marker of shared knowledge (Brinton 1996: 187–189).

OE *þa* may be employed as a temporal adverb 'then' or as a temporal conjunction 'when; then ... when'. Within a discourse perspective, *þa* has been seen to function as a discourse marker denoting foregrounding action, narrative segmentation, or shifts on the discourse level (see, for example, Enkvist 1972, 1986; Enkvist and Wårvik 1987; Kim 1992; Brinton 2006). In most of the studies, word order patterns (mainly verb-second, i.e., *þa* V...) are considered to analyze and highlight the various text-structuring function of *þa* (Wårvik 1995, 2011; see also below, Section 3.4). Similar functions have been found for two collocations comprising *þa*: *hwæt þa* 'what then' moves the narrative forward, expressing the fact that the event that follows can be inferred from the previous event (Brinton 1996: 193–199). The phrases *þa gelamp / gewearp / wæs (hit) (þæt)* 'then it happened that' – termed *gelamp*-constructions by Brinton (1996: Chapter 5) – serve as episode boundary markers, expressing the "subsidiary foreground", the instigating event of an episode. Comparable to OE *þa* and OE *þa gelamp hit*, OE *soþlice* and *witodlice*, lit. 'truly, verily', may not only be employed as manner adverbs with a scope within the predicate or as truth-intensifying emphasizees, but, more often, as pragmatic markers functioning as highlighters or – denoting episode boundaries – as markers of discourse discontinuity in OE prose (Lenker 2000).

### 3.2.4 Word order and information structure

Recent work has highlighted the relevance of information structure to the choice of word order options in Old English. Again, it is the difference of Old English vs. Middle (and Present-day) English structures which has to be stressed: much more than in later periods of English, morphological features (tense-aspect inflection) and distinct word order patterns were central for text-structuring. Tense-aspect morphology, which serves the function of placing events in time (with aspect dependent on the speakers' perspective), played an important role in foregrounding and narrative segmentation: In his analysis of fore- and backgrounding in the *Anglo-Saxon Chronicle*, Hopper suggests that the foreground in OE narratives, in accordance with general principles of grounding, is indicated by the perfective aspect (single dynamic, punctual, or telic events), whereas the background (durative/iterative/habitual/atelic processes) is indicated by the imperfective aspect. Foregrounding may also be indicated through VS and OV structures and backgrounding by SV structures (Hopper 1979: 220–226).

The idea that discourse relations are signalled by specific word order patterns is thus not new, but research into the relation of information structure and word order has seen an upsurge of interest in recent years. So Los (2000) finds that different word orders with *onginnan/beginnan* 'begin' in the works of Ælfric yield identifiable discourse effects: *on-/beginnan* in V1 position invariably indicate discourse discontinuity, i.e. mark episodes in which the narrative takes a dramatic turn, whereas main clauses introduced by *þa* + finite *on-/beginnan* take a bare infinitive and mark discourse continuity. In her examination of word order patterns in Old English non-coordinate and coordinate clauses, i.e., clauses introduced by coordinating conjunctions, Bech (2008) finds that verb-final main clauses (SXV) are likely to signal coordinating discourse relations, for example Narration or Continuation.

In a number of recent articles, van Kemenade and colleagues highlight the fact that Old English possesses a number of morpho-syntactic properties which allow a degree of word order flexibility that is exploited by discourse strategies and, in particular, suggest that particular adverbs (mainly *þa* and *þonne* 'then') functioned as "discourse partitioners" in Old English (van Kemenade and Los 2006; van Kemenade et al. 2008). Their examination of word order patterns with OE *þa* and *þonne* leads them to claim that these adverbs (or rather, particles) should be seen as "focus particles" with a fixed position in the clause structure, with a topic area to the left of the particle and a focus area on the right (for an alternative view on the discourse functions of adverbs in "post-first-position", i.e. the position after the first sentence constituent, see Lenker 2010: 67–72). In sum, these studies suggest that Old English is tailored to allow a certain amount of discourse flexibility: the syntactic and – undisputed – discourse properties of *þa/þonne* show that the syntactic organization of the clause in Old English is closely interwoven with discourse organization, while the transition to Middle English is one that results in a more strictly syntactic organization of the clause (see also Traugott, Chapter 30).

## 4 Summary

The studies of OE pragmatics and discourse reviewed here, though as yet very diverse in their approaches and methodologies, have shown that it is indeed possible to obtain an understanding of how meaning was negotiated in Anglo-Saxon times, in spite of the

lack of good, especially spoken or speech-based, data. It is in particular the “alterity” of Anglo-Saxon England culture(s), i.e. the very different socio-historical conditions, and the typological difference of the still largely inflecting structure of Old English as compared to Present-day English, which make Old English a very interesting field for the study of pragmatics. It might thus be advisable to approach OE pragmatics more like cross-cultural, contrastive studies, rather than viewing it – as many studies so far seem to have done – as a pre-stage for Middle English, Early Modern English, and Modern English. Contrastive cross-cultural approaches to speech acts (especially by Blum-Kulka and associates; see Blum-Kulka et al. 1989) should thus be methodologically significant for the study of OE pragmatics, since they compare the realization of a particular speech act in different cultural and linguistic contexts. Although many aspects of the history of larger speech events and speech acts may prove to be cultural ones in the final analysis, it is thanks to linguistic, i.e., pragmatic, studies of Old English that many complex stories of linguistic and cultural interaction in Anglo-Saxon England have already been exposed.

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## 22 Old English: Dialects

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### Abstract

*The chapter provides a description of the usual dialectal division of Old English into West-Saxon in the South, Kentish in the South-East, and Anglian in the Midlands and the North, with a further subdivision of Anglian into Mercian and Northumbrian. Some of the Anglo-Saxon personalities that are connected with the various dialects are first introduced. A sketch of research on the OE dialects along with some problems for research follows. The chapter then deals briefly with the question of dialects and standard language and with the origin and transmission of the OE dialects. The main dialectal differences in phonology, inflexional morphology, word-formation, vocabulary, and word-geography are outlined, with special attention paid to the (early) runic inscriptions, the Winchester Vocabulary, and Wulfstan’s Vocabulary. As far as the transmission allows us to judge, there were no differences in syntax. The chapter ends with an exploration of the question of a poetic dialect and of the role of the OE dialects in the further development of the English language.*